NYUAD Innovation Disclosure Form

The Technology Opportunities & Ventures (TOV), is the office responsible for management of intellectual property owned by NYU/NYUAD. The Innovation Disclosure Form is the first formal step in the process of identifying intellectual property and determining how to proceed with it. The process includes, for example, conducting searches for conflicting patents, copyrights and/or trademarks (as applicable), and evaluating the innovation's potential for commercialization by understanding the relevant market and competitive landscape, including globally and specifically in the UAE. TOV reviews each disclosure to evaluate and determine, if applicable, a strategy to protect the innovation and to facilitate its commercialization to yield products to benefit society.

An Innovation Disclosure Form is a report and record that should be submitted when something new and useful has been invented or created. Completed Innovation Disclosure Forms are important legal documents serving as a record of innovation as well as the circumstances under which the innovation was made.

An Innovation Disclosure Form is treated by TOV as confidential and privileged because it is submitted for the purposes of seeking legal advice concerning patentability, copyrightability, etc., and such information is subject to legal and other review and confirmation. To ensure that the best strategy possible may be developed for your innovation, please keep it confidential until a decision by TOV has been made.

If a public disclosure (e.g., submission of a manuscript, publication, oral presentation, a poster presentation, press release, etc.) of your innovation is planned or will be planned, please complete and submit an Innovation Disclosure Form well in advance of the disclosure. Failure to submit an Innovation Disclosure Form early to our office may have potential negative consequences.

It is required that all fields of the Innovation Disclosure Form be completed to the best of your knowledge. For guidance on how to complete this Innovation Disclosure Form, contact the Technology Opportunities & Ventures Office at innovations@nyulangone.org.

TOV looks forward to learning more about your innovation and to working through this process with you.

Submit the completed IDF with original signatures to innovations@nyulangone.org.

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A. Innovation Identifiers

Title of the Innovation	
Date(s) of Innovation	

B. Potential Contributors

Please list all Potential Contributors involved in the research, development, and/or creation activities, and provide all requested information for each. If a contributor is not from NYU, please indicate that below. If patent protection is pursued, then actual inventorship will be determined by a patent attorney in accordance with federal patent law. **The Potential Contributor who is designated as the Contact Contributor will be the main contact for NYU TOV, and will be responsible for coordination and/or correspondence with other Potential Contributors when needed.**

	Potential Contributor #1 (Contact Contributor)	**Potential Contributor #2**	**Potential Contributor #3**
Full Name			
Department/Division at the time of the Innovation			
NYU Campus (SOM, WSq, NYU Abu Dhabi) or non-NYU Institution			
Home Address			
City, State, Zip Code			
Best Phone Contact			
NYU Email Address			
Personal E-mail Address			
Country of Citizenship			
NYU Employee # or Kerberos ID or NetID			
Is Contributor an awardee or sub-awardee on any federal grant(s), contract(s), or subcontract(s) related to this innovation	○ Awardee ○ Sub-awardee ○ Both	○ Awardee ○ Sub-awardee ○ Both	○ Awardee ○ Sub-awardee ○ Both

For distribution of any revenue received by NYU as a result of this innovation, please populate the below table. Specifically, please provide for each NYU Potential Contributor, the % share each would receive as an NYU Inventor or Creator±, as per the NYU IP Policy. If the table is blank, TOV will assign an equal division among all named Inventors or Creators.

NYU Potential Contributor	**% NYU Inventor Share**

NYU Potential Contributor	**% NYU Inventor Share**

± Assuming each Potential Contributor is a named Inventor on any patent application covering the innovation or a Creator of a tangible research property or copyrightable work

B. Potential Contributors - Continued

	Potential Contributor #4	Potential Contributor #5	Potential Contributor #6
Full Name			
Department/Division at the time of the Innovation			
NYU Campus (SOM, WSq, NYU Abu Dhabi) or non-NYU Institution			
Home Address			
City, State, Zip Code			
Best Phone Contact			
NYU Email Address			
Personal E-mail Address			
Country of Citizenship			
NYU Employee # or Kerberos ID or NetID			
Is Contributor an awardee or sub-awardee on any federal grant(s), contract(s), or subcontract(s) related to this innovation	○ Awardee ○ Sub-awardee ○ Both	○ Awardee ○ Sub-awardee ○ Both	○ Awardee ○ Sub-awardee ○ Both

Innovation Field (check all that apply):

Agricultural, Food & Nutrition ☐ Information / Communications Artificial ☐ Electronics & Semiconductors ☐

Environmental & Climate ☐ Intelligence / Machine Learning ☐ Robotics & Automation ☐

Energy & Renewable Resources ☐ Cybersecurity / Data Protection ☐ Aerospace & Transportation ☐

Construction & Infrastructure ☐ Quantum Computing & Advanced Computing Systems ☐ Digital Humanities ☐

Materials/Chemical ☐ Social Innovation & Policy Analysis ☐ Artistic Expression & Creative Media ☐

Cultural Heritage & Preservation ☐ Behavioral Science & Human-Centered Design ☐ Education Technology ☐

Therapeutic ☐ Diagnostic ☐ Medical Device ☐ Healthcare Information Technology ☐

Reagent ☐ Research Tool ☐ Manual/Protocol/Questionnaire ☐ Trademark ☐

Other (Please Specify): _____

C. Innovation Details

1. Describe the innovation, in as much detail as possible. (e.g. include materials and components; operative and preferred ranges for process/method parameters; concentrations of chemical compounds; technical details of software (e.g. system requirements, programming language, distribution code format)). Sketches, prints, photos, and any pertinent manuscripts should be included/attached when IDF is submitted.

2. What existing problem or unmet need does the innovation address and what advantages does it possess over current technologies/solutions? Describe all novel features of the innovation.

3. Specify the closest technologies known to you currently.

C. Innovation Details - Continued

4. List any knowledge you possess regarding existing patents, publications, or prior art. Please include your or your team's own publications if they cover this same work below. Please provide citations and/or URLs. If you have questions, please contact us so that we may help you complete this section.

5. At what stage of development is the innovation/solution? Specifically has the innovation been implemented in a computer program or online app, prototyped, scientifically studied, experimentally tested or clinically validated? If so, please describe and/or provide data, if relevant.

6. What is the research plan for the next two years relating to the innovation?

C. Innovation Details - Continued

7.	Has this innovation been publicly disclosed (e.g. publications, abstracts, appearances online [e.g. BioRxiv, ChemRxiv, arXiv, figshare], conferences, conference abstracts, poster sessions, presentations, lectures, or other)?	Yes ◯ No ◯
	If yes, please list the date of earliest public disclosure and where it occurred.	
7b.	Is there imminent or upcoming disclosure of the innovation?	Yes ◯ No ◯
	If yes, please let us know the date of the imminent or upcoming public disclosure.	
8.	Are any Potential Contributors on this form interested in pursuing a startup company based on this innovation?	Yes ◯ No ◯
9.	Do you know of any companies that might be interested in this innovation?	Yes ◯ No ◯

9a. If yes, please list the specific contacts and their details if you have them, or simply list some companies that you think might be interested in this innovation.

Company	Contact Person	Contact Details	Country/City

D. Sources of Funding

Was the research that gave rise to the innovation funded, in whole or in part, by any US federal grant(s), contract(s), or subcontract(s)? Please include resources used that are provided/supported by US federal funding received by an NYU core facility. If yes, please list below. If no, then certify here that the innovation was not created with contribution from an active US federal award.	Yes ○ No ○
If Yes, are any of the contributors the primary or sub-awardee of the federal funding?	Yes ○ No ○

Primary Funding?	Sponsor(s)	Grant/Contract Number(s):	Principal Investigator:	Administering Dept/Center:
○				
○				
○				
○				
○				
○				
○				

If awards and/or support was provided by another source not listed above (UAE federal grants, non-federal grants (e.g., private foundation), philanthropic gifts, Institutional source, private investor, industry sponsor, etc.), please provide details:

Award Source/Sponsor	Award Number	PI	Date Funded	If awarded to an entity other than NYU, please specify

E. Internal and External UAE Resources & Funding

1. Was the research that gave rise to the innovation supported or funded by an NYUAD Research Institute center?	Yes ◯ No ◯
1a. If yes, please specify the center(s):	
2. Do any of the Potential Contributors have an appointment with or funding from any NYUAD Research Institute center?	Yes ◯ No ◯
2a. If yes, please specify the center(s):	
3. Do any of the Potential Contributors have an appointment with any NYU campuses outside the Abu Dhabi campus?	Yes ◯ No ◯
4. Was the innovation funded, fully or partially, by a UAE federal, emirate-level, or semi-governmental entity?	Yes ◯ Yes Partially ◯ No ◯
5. Was the innovation funded by any other internal NYU AD grant or program?	Yes ◯ No ◯
5a. If yes, please specify:	

F. Internal and External US Resources & Funding

1. Do any of the Potential Contributors have an appointment with or funding from the U.S. Department of Veterans Affairs?	Yes ◯ No ◯
2. At the time of innovative contribution were any of the Potential Contributors a Howard Hughes Medical Institute (HHMI) Investigator?	Yes ◯ No ◯
3. Was the research that gave rise to the intellectual property supported by Colton Center Funding	Yes ◯ No ◯
4. At the time of innovative contribution were any of the Potential Contributors a member of the Perlmutter Cancer Center (PCC) or a full or associate member of a PCC Cancer Center Support Grant program (e.g., Cancer Cell Biology, Cancer Genome Dynamics, Epidemiology & Cancer Control, etc.)?	Yes ◯ No ◯
4a. If yes, please specify:	
5. Was the innovation funded by CTSI?	Yes ◯ No ◯
6. Was the research that gave rise to the innovation supported by the Parekh Center of Neurogenerative Diseases?	Yes ◯ No ◯
7. Was any part of this innovation generated and/or developed outside of NYU?	Yes ◯ No ◯
7a. If yes, please provide names of Potential Contributors and the place of employment and/or affiliation.	
8. Was the innovation funded by any other internal NYU NY grant or program?	Yes ◯ No ◯
8a. If yes, please specify:	

G. Does the innovation relate to third party agreements and/or obligations?

1. Were any materials that were used in the development of the innovation obtained from a third party?	Yes ○	No ○
2. Were any materials that were used to generate/create the innovation subject to an MTA or purchase agreement?	Yes ○	No ○
3. Was any third party owned equipment used?	Yes ○	No ○
4. Does the research arise from a Sponsored Research Agreement?	Yes ○	No ○
5. Are any other agreements applicable to this innovation?	Yes ○	No ○
5a. If yes, please specify:		

Submission Acknowledgment - NYU/NYUAD Employees

Please sign below in the signature boxes (digital signature, ink, or electronic scanned signature). NYU requires all Potential Contributors who are its faculty, officers, employees or students to sign.

I/We submit this Innovation Disclosure Form pursuant to NYU's Statement of Policy on Intellectual Property Policy (effective December 15, 2021) which can be found on NYU's website.

I/We have read and understood the above description of the TOV Innovation Disclosure Form submission process.
I/We agree to provide assistance as TOV may reasonably request to obtain patents and develop the commercial value of the innovation, including but not limited to, signing documents as may be required for these purposes. I/We declare that this innovation disclosure is complete and accurate to the best of my (our) knowledge.

Signatures	Date

Signature of Department Chair

Signature of the Chair of the Department is mandatory

☐ I have read and understand the foregoing disclosure: The Chair of the Department:

Date